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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 2 of 2

**Complete if Known**

Application Number	09/879,216
Filing Date	June 13, 2001
First Named Inventor	Robert E. RICHARD
Group Art Unit	To be assigned
Examiner Name	To be assigned
Attorney Docket Number	12013/59001

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
Jlem		5,766,637		SHINE, et al.	June 16, 1998	—
		6,013,853		ATHANASIOU, et al.	Jan. 11, 2000	—
		5,301,664		SIEVERS, et al.	April 12, 1994	—
		6,194,650 B1		WAKAYAMA, et al.	Feb. 27, 2001	—
		6,096,070		RAGHEB, et al.	Aug. 1, 2000	—
		6,153,252		HOSSAINY, et al.	Nov. 28, 2000	—
		6,156,373		ZHONG, et al.	Dec. 5, 2000	—
		5,741,331		PINCHUK	April 21, 1998	—

**FOREIGN PATENT DOCUMENTS**

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

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Jlem		BRENNAN, Mairin B., "One Step to Bioactive Polymers," SCIENCE / TECHNOLOGY, Jan. 29, 2001, Vol. 79, No. 5, CENEAR 79 5, p. 34-35, ISSN 0009-2347	
		"About Supercritical Fluid," Pacific Northwest National Laboratory, Sept. 11, 2000, PNNL-SA-27883	
		"Supercritical Fluid Research at PNNL," Pacific Northwest National Laboratory, Sept. 11, 2000, PNNL-SA-27883	

Examiner  
Signature

Jennifer Koll Michener

Date  
Considered

9/27/02

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<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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		Number	Kind Code <sup>2</sup> (if known)			
glen		6,395,326		CASTRO, et al.	May 28, 2002	

### FOREIGN PATENT DOCUMENTS

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Examiner Signature	Jennifer Kolb Michener	Date Considered	9/27/02
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Group Art Unit	1762
Examiner Name	Michener
Attorney Docket Number	12013/59001

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JEM		E. M. PHILLIPS, ET AL., "Rapid expansion from supercritical solutions: application to pharmaceutical processes", International Journal of Pharmaceutics, 94 (1993) pages 1-10.	
JEM		T.J. YOUNG, ET AL., "Rapid expansion from supercritical to aqueous solution to produce submicron suspensions of water-insoluble drugs", Biotechnology Progress. 2000, 16, pages 402-407.	

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Examiner Signature	Jennifer Kolb	Date Considered	9/27/02
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